

**FINANCIAL DISCLOSURE STATEMENT
ELECTED OFFICIALS AND CANDIDATES**



Reporting Period: January 1 through December 31, 2016

PART I. IDENTIFYING INFORMATION

FIRST NAME	INITIAL	LAST NAME
CURRENT ADDRESS (WHERE YOU CAN BE SENT CORRESPONDENCE)		
CURRENT OFFICE (HELD OR SOUGHT)		
E-MAIL ADDRESS		

PART II. SIGNATURE AND NOTARIZATION

This Financial Disclosure Statement describes all interests and related transactions and matters required to be disclosed by the City of Frederick Ethics Ordinance, Section 21-5 of the City Code with respect to the period indicated and pertaining to the person filing the statement. The Statement consists of this cover sheet and Schedules A through I.

I hereby make oath or affirm under the penalties of perjury that the contents of this Financial Disclosure Statement, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: _____

STATE OF MARYLAND, COUNTY OF _____:

Sworn and subscribed to before me this _____ day of _____, 20____.

(SEAL)

Notary Public
Printed Name: _____
My Commission Expires: _____

ETHICS COMMISSION

Name: _____

Schedule A – Real Property Interests
A separate Schedule A is required for each real property interest disclosed

Did you have any interest in real property during the reporting period?

- Yes (Please complete questions below)
 No (Go to Schedule B)

1. What is the nature of the property and the address or legal description of the property?

- a. Improved – if so, indicate whether property is residential or commercial
 Unimproved (vacant lot)
- b. Street Address or Legal Description

2. a. Identify the nature and extent of the property interest held: _____

- b. Are there any legal conditions or encumbrances on the property interest? Yes No
(Example: mortgages, liens, contracts, options, etc.)

If yes, what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc.?

3. a. What date was the property interest acquired? _____

- b. How was the property interest acquired? _____
(Example: purchase, gift, inheritance, etc.)

c. From whom was the property acquired? _____

4. What consideration was given when the property interest was acquired? _____

(Dollar amount paid or, if you acquired the interest other than by purchase, the fair market value at the time you acquired your interest in the property)

5. Have you transferred any interest in this property during the reporting period? Yes No

If yes,

a. Describe the interest transferred: _____

b. What consideration did you receive for the interest? _____

c. To whom did you transfer the interest? _____

6. Identify any other person with an interest in the property: _____

Name: _____

Schedule B – Interests in Corporations and Partnerships

A separate Schedule B is required for each interest disclosed

Did you have any interest in any corporation, partnership, limited liability partnership (LLP) or limited liability corporation (LLC) during the reporting period whether or not the entity did business with the City?

- Yes (Please complete questions below)
 No (Go to Schedule C)

1. What is the name and address of the principal office of the entity? _____

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

a. In your name alone Jointly (percentage of your interest: _____%)

b. What is the nature of your interest and its dollar value or the number of shares?
(Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.)

Type: _____

Dollar Value of Shares: \$ _____ OR report equity interest below:

If an equity interest in a non-publicly traded corporation – Number of Shares: _____

If an equity interest in a partnership – Percentage of Ownership: _____%

c. Are there any legal conditions or encumbrances that apply to your interest in the entity? Yes No
(Example: mortgages, liens, contracts, options, etc.)

If yes, name of entity holding the encumbrance: _____

3. Have you transferred any interest in this entity during the reporting period? Yes No

If yes,

a. Describe the interest transferred: _____

b. What consideration did you receive for the interest transferred? _____

c. To whom did you transfer the interest? _____

4. Did you acquire an interest in the entity during the reporting period? Yes No

If yes,

a. When was the interest acquired? _____

b. How was the interest in the entity acquired? _____
(Example: purchase, gift, will, etc.)

c. From whom did you acquire the interest in the entity? _____

d. What consideration was given when the interest was acquired? _____
(Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

5. Does the entity do business with the City of Frederick? Yes No

Name: _____

Schedule C – Interests in Any Other Business Entities Doing Business with the City

A separate Schedule C is required for each business entity disclosed

Do you have an interest in any other business entity not reported on Schedule B that did business with the City of Frederick during the reporting period?

- Yes (Please complete questions below)
 No (Go to Schedule D)

1. What is the name and address of the principal office of the business entity? _____

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

a. In your name alone Jointly (percentage of your interest: _____%)

b. What is the nature of your interest and its dollar value or the number of shares?
(Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.)

Type: _____

Dollar Value of Shares: \$ _____ OR report equity interest below:

If an equity interest in a non-publicly traded corporation – Number of Shares: _____

If an equity interest in a partnership – Percentage of Ownership: _____%

c. Are there any legal conditions or encumbrances that apply to your interest in the entity? Yes No
(Example: mortgages, liens, contracts, options, etc.)

If yes, name of entity holding the encumbrance: _____

3. Have you transferred any interest in this entity during the reporting period? Yes No

If yes,

a. Describe the interest transferred: _____

b. What consideration did you receive for the interest transferred? _____

c. To whom did you transfer the interest? _____

4. Did you acquire an interest in the entity during the reporting period? Yes No

If yes,

a. When was the interest acquired? _____

b. How was the interest in the entity acquired? _____
(Example: purchase, gift, will, etc.)

c. From whom did you acquire the interest in the entity? _____

d. What consideration was given when the interest was acquired? _____
(Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

Name: _____

Schedule D – Gifts

During the reporting period, did you receive any gift, directly or indirectly, in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value in excess of \$100 from a person or entity who: 1) did business with the City; or 2) engaged in an activity that was regulated or controlled by the City? The solicitation, acceptance, receipt, or regulation of a lawful political contribution is not a gift.

- Yes (Please provide requested information below)
- No (Go to Schedule E)

Name of Donor	Description of Gift	Approximate Retail Value of Gift

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule E – Offices, Directorships, and Salaried Employment

A separate Schedule E is required for each disclosure

During the reporting period, did you or any member of your immediate family (spouse or dependent child) have any salaried employment or hold any office or directorship with an entity that did business with the City?

Yes (Please complete questions below)

No (Go to Schedule F)

1. What is the name and address of the principal office of the business entity? _____

2. a. What is the nature and title of the office, directorship, or salaried employment you, your spouse or dependent child held? _____

b. What is the date the position began? _____

3. Identify each City agency with which the entity was involved: _____

Name: _____

Schedule F – Liabilities

A separate Schedule F is required for each debt disclosed

During the reporting period, did you owe or were you involved in a transaction giving rise to a member of your immediate family owing a liability (excluding a retail account) to a person that did business with the City?

[NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the City as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.]

Yes (Please complete questions below)

No (Go to Schedule G)

1. a. To whom was the liability owed? _____
(Do not include retail accounts)
b. When was the liability incurred? _____
2. What was the amount of the liability owed as of the end of the reporting period? If debt existed during the reporting period but was paid in full at the end of the period, put \$0. \$ _____
3. a. What are the terms of payment of the liability? _____
b. Did the principal of the debt increase, decrease, or remain the same during the reporting period?
If increased or decreased, by how much? \$ _____
4. Was any security given for the debt? Yes No
If yes, please state what type of security was given: _____

Name: _____

Schedule G – Family Members Employed by the City

During the reporting period, were any members of your immediate family (spouse or dependent children) employed by the City in any capacity?

- Yes (Please provide requested information below)
 No (Go to Schedule H)

Name of Family Member	Relationship to You	Position/Title that Family Member Held

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule H – Employment/Business Ownership

A separate Schedule H is required for each disclosure

During the reporting period, were you or a member of your immediate family employed by or a sole or partial owner of a business entity from which earned income was received by you or a member of your immediate family? Please note that your minor child's employment or business ownership does not need to be disclosed if the agency that employs you does not regulate, exercise authority over, or contract with the place of employment or the business entity of the minor child.

Yes (Please complete questions below)

No (Go to Schedule I)

1. If, during the reporting period, you or a member of your immediate family had employment from which income was earned, list the name and address of the employment.

Name of Employer: _____

Address: _____

City/State/Zip: _____

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the name and address of the business entity.

Name of Business Entity: _____

Address: _____

City/State/Zip: _____

Name: _____

Schedule I – Other

In the space below, provide any additional information or interest you would like to disclose:
